

# Neuromodulations in Psychiatric Disorders: Emerging Lines of Definition

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## Keywords

Classification · Clinimetrics · Neuromodulation · Psychiatric disorders · Staging

## Introduction

Psychiatric disorders are characterized by complex and ever-changing clinical features, and from a pathological perspective, they involve multiple abnormal neurotransmitters [1], brain regions, and neural circuits [2–5]. Therapeutic progress has long been challenged by the diagnostic and aetiological heterogeneity of psychiatric disorders [6]. Psychotherapies and pharmacotherapies used alone or in combination have been shown to exert clinical benefits compared with placebo or treatment-as-usual. However, the effectiveness of these treatments remains unsatisfactory [7]. Neuromodulation, such as electrical, magnetic, optical, acoustic, and thermal modalities [8], offers alternatives to pharmacological treatment and psychotherapy and has attracted an increasing amount of attention from peers for the management of psychiatric disorders (Table 1).

Although this burgeoning neuromodulation strategy has developed rapidly in recent years and aims to inhibit, activate, modify, and/or regulate central and peripheral nervous system activities in order to care for patients

with many challenging psychiatric conditions [23], the existing classification of neuromodulation fails to clearly address “which, when, and how to choose” a neuromodulation therapy for a specific psychiatric disorder. For instance, electrical stimulation has been applied to treat different psychiatric disorders in clinical settings, such as electroconvulsive therapy (ECT) for schizophrenia [9], deep brain stimulation (DBS) for obsessive-compulsive disorder [24], spinal cord stimulation for chronic pain [25], and transcranial alternating current stimulation (tACS) for chronic insomnia [26] and depressive disorder [13]. Here, we can clearly see that different psychiatric disorders may require corresponding electrical stimulation with varying energy-delivering intensities. Therefore, it is plausible to consider energy as a crucial factor in understanding neuromodulation, at least for electrical stimulation, in various psychiatric disorders.

The use of ECT, DBS, and tACS for treating depressive disorders has been studied. Therefore, in this article, we use electrical stimulation for major depressive disorder (MDD) as an example to illustrate how those neuromodulation techniques can be categorized by the amount of energy, thus facilitating a deeper understanding of the neuromodulation techniques, the disease itself, and the relationships between them. Based on this novel classification, we propose potential strategies, for applying diverse

**Table 1.** Advantages and disadvantages of the widely applied neuromodulation approaches

Techniques	Advantages	Disadvantages
<i>Electrical</i>		
ECT [9]	A classic brain stimulation method	Side effects, such as fractures, seizures, cognitive deficits
MECT [10]	Nonsurgical stimulation, reducing anticipatory anxiety, and therapeutically more acceptable to patients and families	Cognition disturbance, requires preoperative anesthesia
ECS [11]	Electrodes placed extradurally over the target brain area, currently used to treat refractory depression, pain, movement disorders, and post-stroke rehabilitation	Requires surgery
DBS [11]	Electrodes implanted into specific brain locations provide adjustable stimulation to treat neurological and mental illnesses related to circuit dysfunction, highly focused intervention with typical mm-scale anatomical targets	Requires surgery
TI [12]	Spatial targeting and non-invasive	Intricate operation targeting precise localization
tDCS [13, 14]	Non-invasive brain stimulation methods widely utilized	Low spatial resolution, activation spreads over $\geq 1$ cm, skin lesions similar to burns, mania or hypomania in patients with depression, potential seizure attack in pediatric patients
tACS [13]	Low cost, non-invasive, portability, and potential in-home applications, fueling a proliferation of human trials	Potentially activate excitable peripheral elements between the scalp electrodes
taVNS [15]	Non-invasive neuromodulation therapy modulates brain physiology by electrically stimulating the auricular branch of the vagus nerve. It is currently used to treat depression, as well as other conditions such as epilepsy and stroke	Side effects, including primarily localized reactions (redness, erythema, pain, and irritation), and systemic side effects (dizziness, headaches, and fatigue, among others)
<i>Magnetic</i>		
TMS [16]	Commonly employed non-invasive technique in clinical settings	Activate a relatively limited scope of the brain region, required precision localization
MST [17]	Can induce seizures like ECT with 100 Hz magnetic stimulation	Efficacy needs further confirmation, requires anesthesia
<i>Optical</i>		
tNIRS [18]	Non-invasive, safe, simple to operate, etc.	Excessive photothermal effects may lead to damage to cells and tissues
ILT [19]	Non-invasive stimulation with the potential to treat a wide range of brain conditions	Risk of macular lesions
MIRS [20]	Featuring non-thermal, reversible, and long-range propagation	Not clear
Optogenetic proteins [20]	Highest spatial resolution elucidates brain function through cellular and animal models	Requires genetic modification
<i>Acoustic</i>		
LIPUS [11, 21]	Millimeter-scale spatial resolution, non-invasive and no tissue-damaging heat generation	The effect of relevant parameters is unclear, with significant individual variability
LIFUS [22]	Delivering highly focused ultrasound to specific brain regions with millimeter-level precision, resulting in localized thermal effects	Costly, intricate operation

DBS, deep brain stimulation; ECS, epidural cortical stimulation; ECT, electroconvulsive therapy; ILT, intranasal light therapy; LIFUS, low-intensity focused ultrasound stimulation; LIPUS, low-intensity pulsed ultrasound; MECT, modified electroconvulsive therapy; MIRS, mid-infrared spectroscopy; MST, magnetic seizure therapy; tACS, transcranial alternating current stimulation; taVNS, transcutaneous auricular vagus nerve stimulation; tDCS, transcranial direct current stimulation; TI, temporal interference; TMS, transcranial magnetic stimulation; tNIRS, transcranial near-infrared spectroscopy.

available approaches (i.e., sequential or simultaneous treatment combinations), including various neuromodulations, drugs, and psychotherapies, to address a range of psychiatric disorders in clinical settings.

### An Innovative Classification of Neuromodulation

A simple classification of neuromodulation was based on the mediational substance [8] but overlooked to some extent the intrinsic nature of neuromodulation, which encompasses electrical, magnetic, optical, acoustic, and thermal modalities of delivering energy to specific brain areas to achieve the goal of modulating brain function. In addition, this classification neglects various stimulation factors, such as site, frequency, and duration, which strongly affect efficacy across different psychiatric disorders. The mechanism of neuromodulation involves restoring abnormal network activity [4], enhancing neuroplasticity [27], entraining oscillatory activity [28], and disrupting ongoing physiological or pathological oscillations [29] by delivering energy to the brain with personal, spatial and temporal specificity [4]. Essentially, electrical, magnetic, optical, acoustic, and thermal modalities, regardless of the parameters of their stimuli, ultimately act in an energetic manner on the individual's target area. Thus, energy is paramount in the above-mentioned neuromodulations utilized to treat psychiatric disorders. Herein, we propose a further categorization based on the magnitude of energy within the present framework.

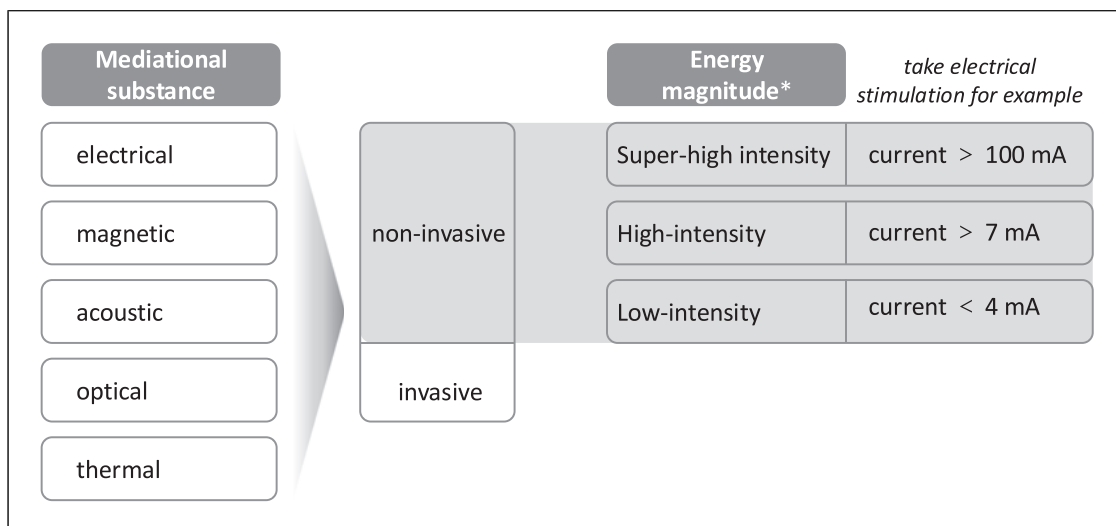
A typical example is the application of ECT and modified ECT (MECT) with currents ranging from 800 to 900 mA [30], tACS at 15 mA [13], and tACS at <4 mA [31]. Variations in the intensity of currents among these techniques lead to different clinical effects [32]. ECT and MECT are used as interventions for various refractory psychiatric disorders, producing definite therapeutic effects, albeit with inevitable adverse reactions [9], whereas tACS with a current of 15 mA effectively treats first-episode drug-naïve depressive disorder [13] and chronic primary insomnia [26]. However, tACS at <4 mA has inconsistent clinical outcomes in terms of managing insomnia and depressive disorders [31]. This finding underscores the significance of current intensity as the most crucial parameter closely linked to clinical outcomes. A recent study revealed that extracranial alternating current intensities positively induce intracranial local field potentials (LFPs) [32]. Additionally, findings from rodents (rats) and studies of human cadavers demonstrated that the intracranial electric fields

were linearly related to the intensity of the extracranial current and were independent of the electrical stimulation frequency [33]. Therefore, the current intensity directly affects the energy delivered by the electrical stimulation, and higher extracranial alternating currents result in a higher amount of energy being delivered to the brain.

We conducted stereoelectroencephalography recordings to measure LFPs of deep brain tissue while applying different extracranial current intensities of tACS via intracranial electrodes surgically implanted into the brain tissue of patients with drug-resistant epilepsy [32]. Our findings revealed that at low alternating current intensities, there were no statistical differences in LFPs compared with 0 mA, whereas at currents greater than 7 mA, significant differences in LFPs were simultaneously detected in the hippocampus, insula, and amygdala, with increasing alternating current intensities. These results support the classification of non-invasive neuromodulation techniques on the basis of energy levels, particularly for electrical and magnetic stimulation. In light of our findings, we recommend a classification method that considers different media bearing neuromodulatory stimuli, the distinction between invasive and non-invasive approaches, as well as the magnitude of energy delivered, namely, super-high-, high-, and low-intensity techniques (Fig. 1).

#### *Super-High-Intensity Technique (Current >100 mA)*

Typically, ECT and MECT [30] utilize currents exceeding 700 mA to induce generalized seizure, by applying stimulation at the whole-brain level, with the aim of rapidly controlling acute psychiatric symptoms. A randomized controlled trial that examined different current intensities of ECT for treating MDD reported that higher amplitudes (700 mA and 800 mA) were more effective for controlling depressive symptoms, whereas a lower amplitude (600 mA) was better for improved cognitive function [30]. Previous research indicates that super-high-intensity currents can penetrate deep brain tissues via the cortex, resulting in a linear relationship between electric fields and hippocampal neuroplasticity, with the latter mediating the interaction between electric fields and the therapeutic effects of antidepressants [34]. Super-high-intensity currents can also modulate functional connectivity within the brain, such as the connectivity between the limbic system and the prefrontal cortex [35], and the functional connectivity of the hippocampus [36]. Additionally, super-high-intensity currents induce neuroplastic changes in synapses (synaptogenesis), neurons



**Fig. 1.** The recommended classification of neuromodulations based on the energy delivered. \*Electrical, magnetic, acoustic, optical, and thermal stimulation can be classified according to varying energy intensities.

(neurogenesis), dendrites (dendritogenesis), the vascular system (angiogenesis), and glial cells and their processes (gliogenesis) [37]. Furthermore, these currents regulate neurotransmitters, including monoaminergic neurotransmitters [38], serotonin [39], and glutamate [40]. ECT has already been recommended for use in severe major depression and other psychiatric disorders, such as bipolar disorder, treatment-resistant schizophrenia, schizoaffective disorder, and neuroleptic malignant syndrome [41]. In summary, ECT is the first choice for severe psychiatric disorders in acute and critical conditions (online suppl. Fig. S1; for all online suppl. material, see <https://doi.org/10.1159/000542163>).

#### *High-Intensity Technique (Current >7 mA)*

Without inducing a generalized seizure, high-intensity tACS at 15 mA [32] can directly stimulate multiple nuclei, widespread brain regions, and brain networks simultaneously [42], thus generating a synergistic effect across the whole brain. This approach holds potential for a wide range of applications, including the treatment of MDD, treatment-resistant depression (TRD), and chronic insomnia [13, 26, 43, 44]. The brain-wide activation induced by high-intensity tACS could effectively reach deep brain structures, offering a viable approach to modulating or addressing neuropsychiatric disorders linked to the hippocampus, insula, and amygdala [32, 42]. This may explain why high-intensity tACS can rapidly control various symptoms. However, further clinical research is needed to confirm this hypothesis. Therefore, we suggest

that high-intensity tACS has the potential to become a primary treatment for psychiatric disorders (online suppl. Fig. S1).

#### *Low-Intensity Technique (Current <4 mA)*

Transcranial direct current stimulation (tDCS) [45] and low-intensity tACS [13], with currents below 4 mA, generate approximately 0.5 V/m electric fields in localized brain regions, thereby stimulating the cortex but not directly reaching deep nuclei or only exerting indirect effects on them [46]. tDCS targets the left dorsolateral prefrontal cortex to reduce depression [47]. Low-intensity energy technology has inherent features such as limited energy, claimed linear conduction, a single stimulation target, and localized effects [48]. The operational process is relatively complicated because of the need for precise localization for the stimulation target area. Furthermore, tACS appears to be advantageous because it involves less sensory experience [49] and has fewer known adverse effects [26], leading to an increase in the field of transcranial electrical stimulation.

Transcranial magnetic stimulation (TMS) involves the conversion of electrical energy into a magnetic field, the magnitude of which is proportional to the intensity of the given electrical current, that can pass through the skull without energy loss and precisely stimulate specific areas of the brain cortex associated with conditions such as MDD [50], addiction [51], and obsessive-compulsive disorder [52]. Therefore, we recommend the use of low-intensity TMS to effectively target focal areas of the brain cortex, as this technique exerts therapeutic effects

and offers precision treatment for specific residual symptoms and/or single symptoms (online suppl. Fig. S1). Super-high-intensity TMS, such as magnetic seizure therapy (MST), is used in investigational studies but has not yet gained mature experience [53]. Because of its ability to trigger the seizure process, MST is expected to treat the same refractory mental disorders as ECT [54] but with fewer cognitive side effects than ECT [54]. Therefore, we hypothesized that MST might rival the efficacy of ECT (online suppl. Fig. S1).

The techniques mentioned above have also been shown to have high levels of safety and efficacy among young children and adolescents [55–61]. ECT is applicable for children aged 6–18 years [56], whereas tDCS has been demonstrated to be safe in the same age group within a current range of 0.25 mA–2 mA [57, 60, 61]. The safety of DBS has been established for children aged 7 years and above [58], and TMS has been explored in populations ranging from 3-year-old children to adults up to 84 years of age [59]. Additionally, tACS has been safely used with currents of 1–2 mA in children aged 5–12 years with language disorders [55]. However, these investigations involved relatively small sample sizes, and further research is needed to better define safe parameter ranges for these special populations.

Non-invasive neuromodulation techniques such as electricity, magnetism, sound, light, and heat, in a sense, function by transferring energy to targeted organs, neural tissues, or cells to achieve neuromodulation and functional intervention, allowing these methods can be categorized according to their energy levels. Importantly, the factors affecting the intensity of light and sound differ from those affecting electrical stimulation. For example, in the case of light, energy transfer is governed by the equation  $E = h\nu = hc/\lambda$  ( $E$ , light energy;  $h$ , Planck's constant;  $\nu$ , the frequency of light;  $c$ , the speed of light;  $\lambda$ , the wavelength of light). The frequency of light is directly proportional to its energy, meaning that higher-frequency waves carry more energy than lower-frequency waves do [62]. Among visible light, violet has the highest energy, while red has the lowest. As for acoustic energy, its magnitude is related to the intensity of the stimulus and the amplitude of the sound wave [63].

### Exploring Potential Approaches of Neuromodulation for Psychiatric Disorders

Psychiatric disorders exhibit a wide range of inter-individual variability in prodromal symptoms, such as unipolar depression, bipolar disorder, and anxiety dis-

order; furthermore, these disorders encompass diverse types, sequences, and durations of symptoms, thus demonstrating significant heterogeneity [64]. There is also strong intra-individual consistency in the recurrence of prodromal symptoms across subsequent episodes, and residual symptomatology is commonly observed post-treatment [64, 65]. In the longitudinal course, it is also necessary to consider the iatrogenic and psychosocial factors, response to treatment, residual symptomatology, and behavioral toxicity within a comprehensive staging framework [66–68].

Psychiatric disorders are complex, and it is unlikely that a single course of treatment will be sufficient to achieve recovery [69]. Neuromodulation has the potential to serve a dual purpose – priming the brain to maximize homeostatic plasticity during subsequent interventions and then reinforcing those therapeutic effects through consolidation [70]. The sequential model and combined treatments, including neuromodulation, offer opportunities for precision treatment in psychiatric disorders. The sequential model represents an intensive, two-stage approach, which derives from the awareness that a single treatment course may not resolve the intricate disturbances often observed in clinical practice [71]. The rationale of this approach is to use different treatment strategies when they are most likely to make a distinct contribution to patients' well-being and to achieve a more pervasive recovery. In severe or treatment-resistant psychiatric disorders, combined treatment is often required to overcome the limitations of a single therapeutic approach, utilizing various methods such as psychotherapy, pharmacological interventions, and neuromodulation to achieve more effective symptom relief. Clinimetrics [72], as a complement to customary psychiatric taxonomy based on DSM-5 [73], has offered a clinical framework for staging of psychiatric disorders [69, 72, 74]. The best-practice intervention strategies should be administered according to the varying stages of psychiatric disorders characterized by distinct symptoms, dynamic alterations within neurotransmitter systems, or neural circuits.

#### *Potential Sequential Model of Treatments*

The sequential model involves the consecutive administration of pre-planned strategies (online suppl. Fig. S2). According to the staging of psychiatric disorders [67], for instance, MDD, in stage 1, characterized by prodromal symptoms, a single utilization of psychotherapy like well-being therapy (WBT) to pursue euthymia may be suitable [3, 75], and high-intensity tACS is another choice for wholly modulating brain activity [32, 42]. In stage 2,

during the acute manifestations of a major depressive episode, high-intensity tACS or antidepressants may relieve patients with moderate to severe symptoms, offering comprehensive and rapid symptom control. In stage 3, when residual symptoms occur, psychotherapy, such as WBT, or low-intensity neuromodulation techniques such as tDCS, low-intensity tACS, and TMS may help alleviate certain residual symptoms and/or particular symptoms by targeting local cortical circuits.

Sequentially integrating psychotherapy after acute-phase pharmacotherapy has been linked to a decreased risk of relapse and recurrence [71], and discontinuing antidepressant medications in MDD patients [69, 76, 77]. Similarly, incorporating the advantages of neuromodulation techniques with varying energy intensities into the sequential treatment model may play a crucial role in alleviating symptoms and reshaping brain function [4, 27–29] at different stages of MDD.

#### *Simultaneously Combined Treatments*

There have been clinical explorations of neuromodulation techniques simultaneously combined with other approaches for the treatment of depressive disorders and other psychiatric conditions. Combining ECT with antidepressants, such as imipramine, in TRD patients resulted in improved acute-treatment outcomes compared with ECT alone [78]. In our previous case series study, patients with TRD who received regular antidepressant medication but still exhibited residual symptoms presented significantly reduced depressive symptoms after receiving high-intensity tACS [44]. Studies have explored the combination of tDCS with medication or cognitive-behavioral therapy (CBT) to enhance the antidepressant effect during depressive episodes [79, 80]. A study on individuals with antidepressant-free, moderate to severe, nonpsychotic, unipolar MDD indicated that the synergistic effect of tDCS combined with sertraline was stronger than the effect of tDCS or sertraline alone and was stronger than the effect of sham stimulation and placebo [79]. Moreover, combining CBT with tDCS may yield greater efficacy than CBT alone for the management of moderate MDD [80].

These aforementioned studies offer insights into the complementary effects of combination therapies, supporting our proposal that for severe depression or cases progressing to TRD, super-high-intensity neuromodulation techniques (including ECT, MECT, and MST), high-intensity tACS, or DBS should be considered in combination with antidepressants. Remission and recovery in MDD are one-way streets marked by structural changes in neural architecture and dynamic alterations in gene expression patterns mediated through

epigenetic mechanisms [81]. Due to its ability to non-invasively deliver energy to the entire brain, high-intensity tACS may facilitate whole-brain modulation [32, 42], serving as a foundational approach to addressing psychiatric disorders at different stages. According to a patient-centered approach, the assessment and staging of psychiatric disorders should be performed based on clinimetrics and macroanalysis, thus enabling comprehensive and individualized management throughout their longitudinal course. The exploration of both sequential model and simultaneous combined treatments allows the integration of the strengths of various treatment methods, thereby compensating for their weaknesses to enhance clinical efficacy and minimize side effects.

### **Conclusions**

Our proposed classification of electric stimulation may be also extended to cover other neuromodulations, such as magnetic, optical, acoustic, and thermal techniques. In the domain of the clinical application of neuromodulation across different populations and stages, efforts are necessary to explore the optimal parameters, such as intensities, frequencies, and durations, to effectively and safely control symptoms and achieve a more precise management. Additionally, there is a need to investigate the sequential combination of treatment strategies through well-designed clinical studies. These studies are expected to yield robust evidence to support validated and personalized sequential treatments for psychiatric disorders.

### **Conflict of Interest Statement**

The authors have no financial conflicts of interest to declare.

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### **Author Contributions**

Dr. Wang conceived, drafted, and critically revised the manuscript. Dr. Liu contributed to the draft manuscript.

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